

# Diffusion of Dirac fermions

P. Adroguer

Laboratoire de Physique, Ecole Normale Supérieure de Lyon, France



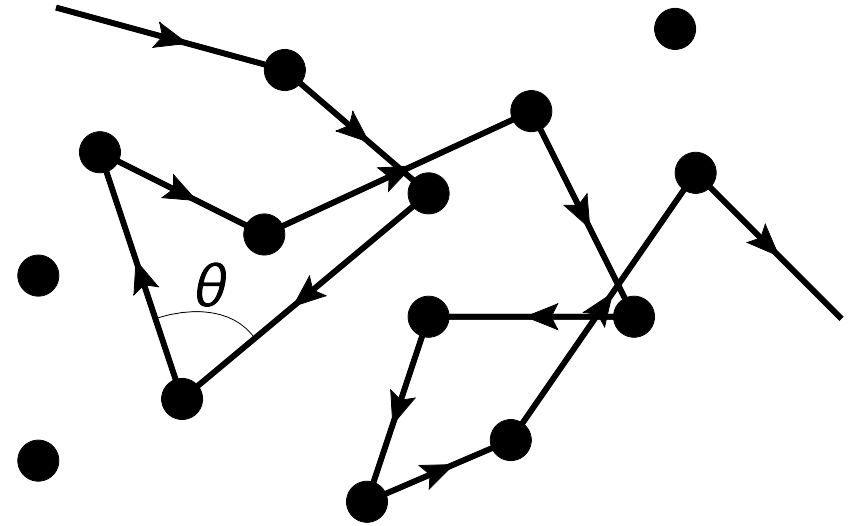
# Outline

- Diffusion, regime of weak disorder
- Diffusion of Dirac fermions
  - 3D Strong topological insulators
  - Graphene
- Diffusion of semi-Dirac excitations

# Outline

- Diffusion, regime of weak disorder
- Diffusion of Dirac fermions
  - 3D Strong topological insulators
  - Graphene
- Diffusion of semi-Dirac excitations

# Diffusion



- Continuity limit of a random walk (mean free path  $l_e$ )
- Semi classical approach  $\lambda_F \ll l_e$
- Experimental regime, high Fermi energy : good metal
- Sample length  $\gg$  mean free path  $l_e$
- Weak disorder regime
  - Boltzmann equation
  - Diagrammatics

# Boltzmann equation

- Integro-differential equation

$$-e\vec{E} \cdot \frac{\partial f}{\partial \vec{k}} = \left\langle \int \frac{d\vec{k}'}{(2\pi)^2} 2\pi |\langle \vec{k}' | V | \vec{k} \rangle|^2 \delta(E(\vec{k}') - E_F) [f(\vec{k}') - f(\vec{k})] \right\rangle$$

- 2 quantities of interest : scattering probability and density of states
  
- Linear response : Drude's conductivity (no quantum corrections, no UCF)

# Standard diagrammatic technique

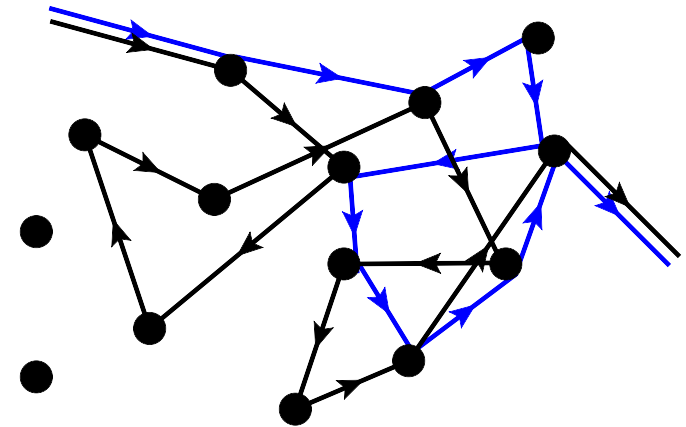
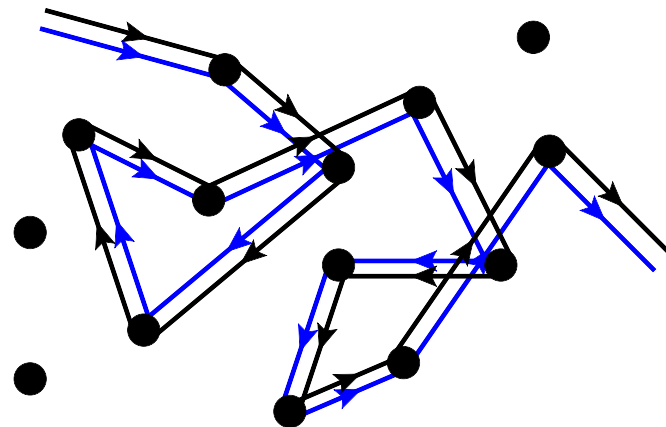
- Linear response : Kubo formula

$$\sigma_{\alpha\beta} = \frac{\hbar}{2\pi\Omega} \Re \text{Tr} (j_{\alpha} G^R j_{\beta} G^A)$$

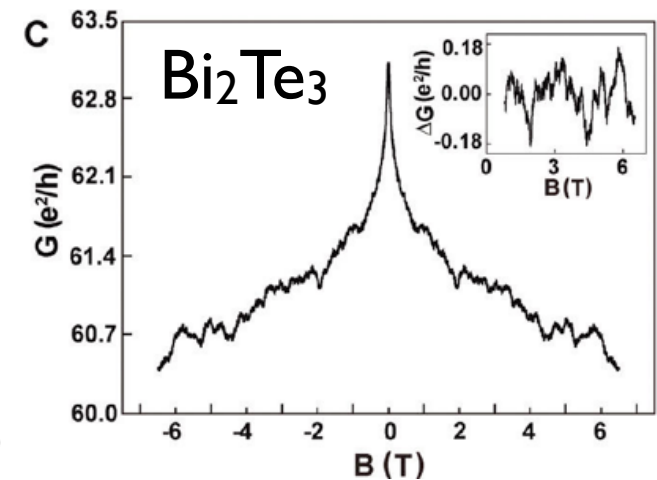
- Quantum mechanics

$$\sigma \propto \sum_{i,j} a_i^* a_j$$

- Classical part (diffuson) = Drude's conductivity



- Quantum interferences (cooperon) : WL, UCF

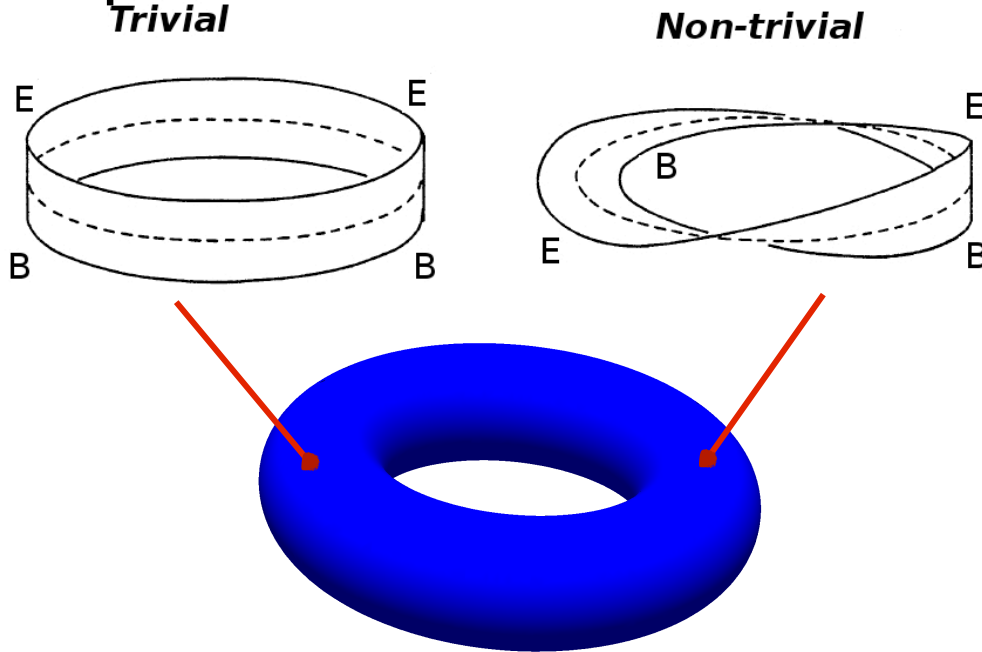


# Outline

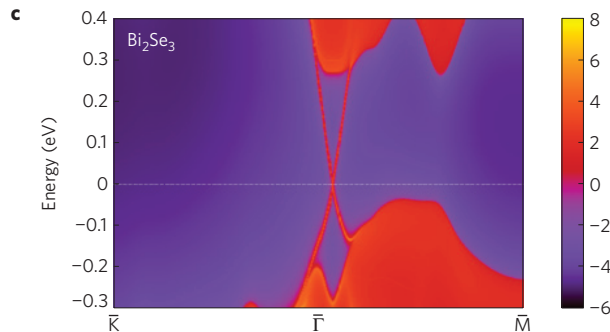
- Diffusion, regime of weak disorder
- Diffusion of Dirac fermions
  - 3D Strong topological insulators
  - Graphene
- Diffusion of semi-Dirac excitations

# 3D Strong topological insulators

- Insulators with topologically protected surface states

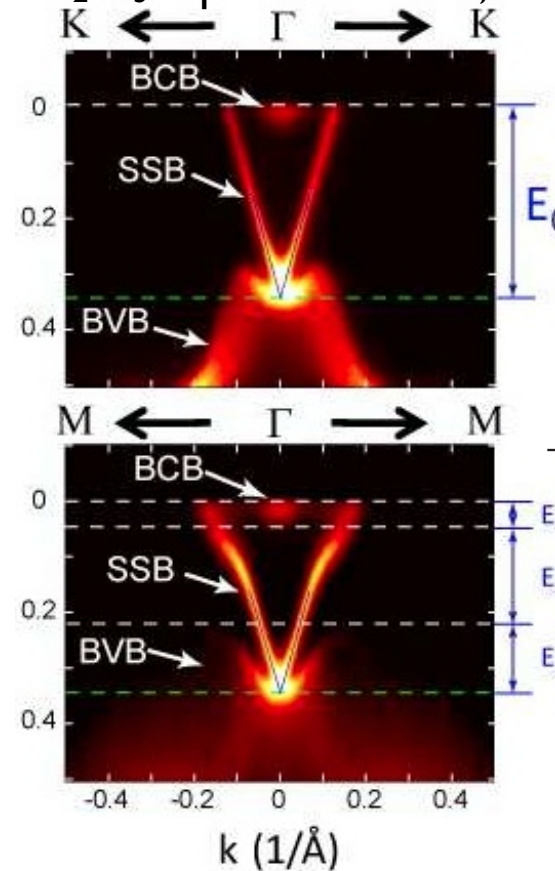


- Numerical simulations

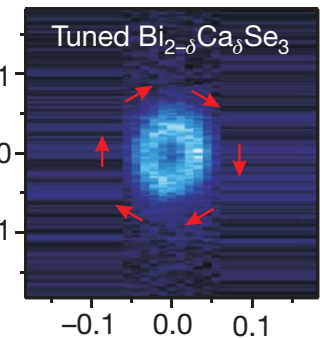


- Surface experiments (ARPES, STM)

$\text{Bi}_2\text{Te}_3$  Alpichshev *et al.*, 2010



D. Hsieh *et al.*, 2009

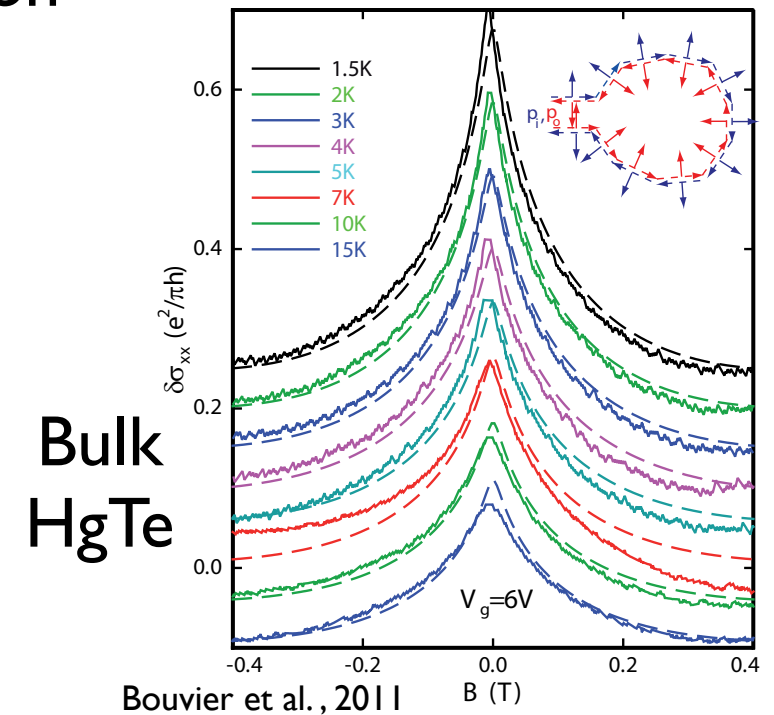
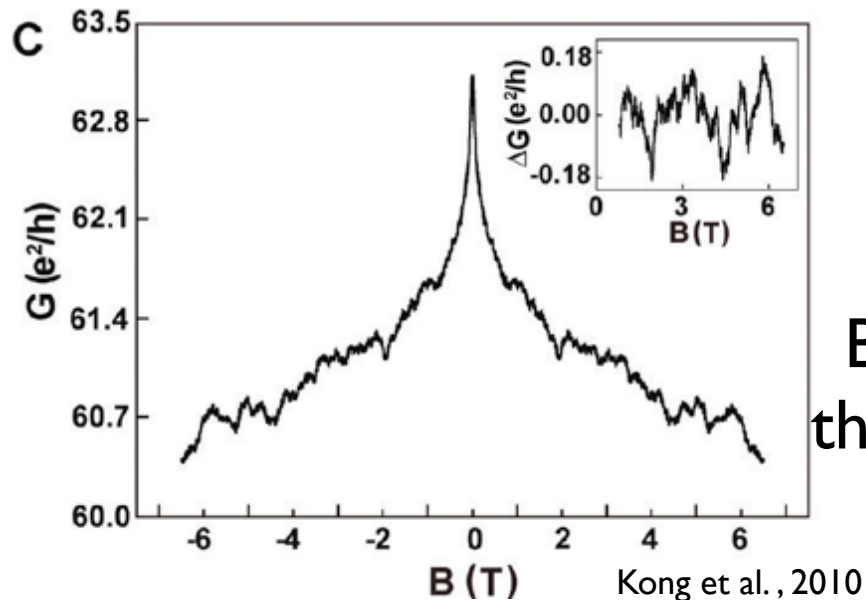
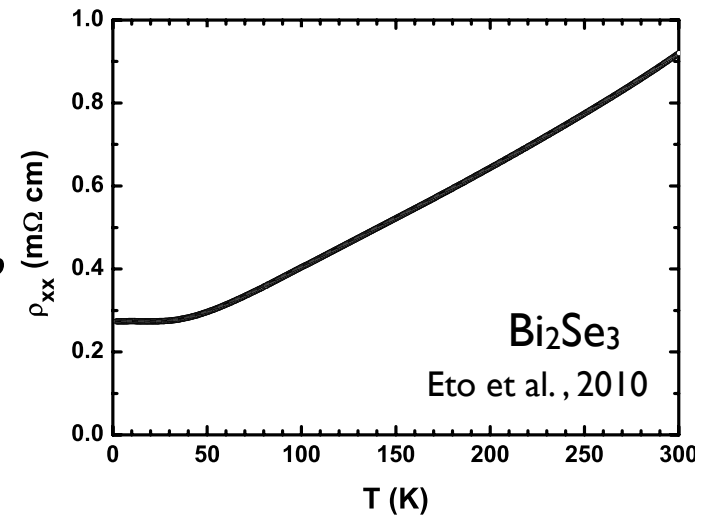


- Surface states : Odd number of Dirac cones



# 3DTI Transport experiments

- Residual bulk conductance
  - Thin films : improve surface/bulk ratio, gating both surfaces
  - Strained HgTe : no bulk conductance
- Magneto-transport : weak anti-localization

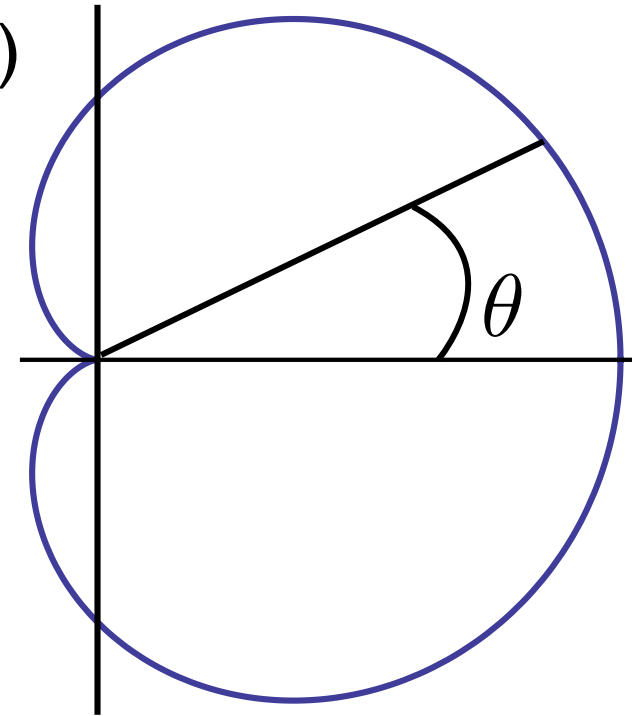
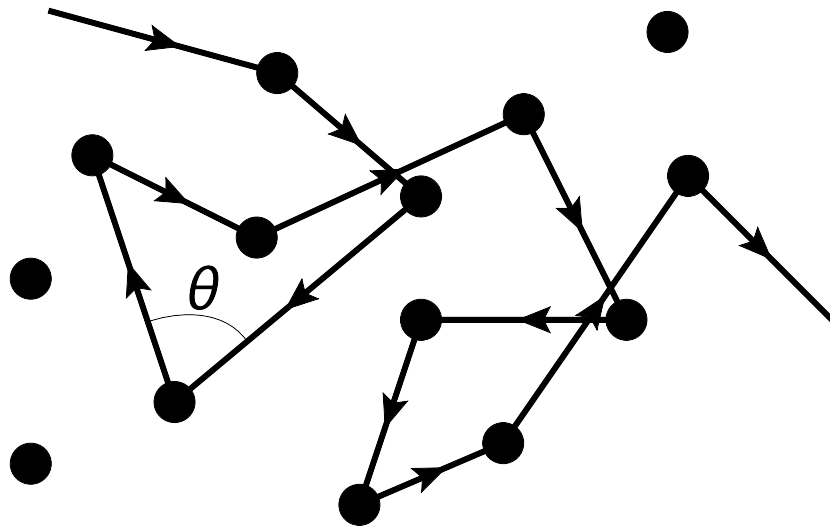


# Regime of diffusive transport

- Semi classical approach,  $\lambda_F \ll l_e$  (perturbative approach)
- Experimental regime : far from the Dirac point (good metal)
- Hamiltonian :  $\mathcal{H} = \hbar v_F \left( \vec{k} \times \vec{\sigma} \right) \cdot \hat{z} + V(\vec{r})$   
 $\langle V(\vec{r}) \rangle = 0$        $\langle V(\vec{r}) V(\vec{r}') \rangle = \gamma \delta(\vec{r} - \vec{r}')$
- Sample length  $\gg$  mean free path  $l_e$
- Weak disorder regime

# Boltzmann equation

- Scattering anisotropy (spinor overlap)



- Absence of backscattering (TRS)
- Doubling of the transport time

$$\sigma = \frac{e^2}{h} \rho(E) v_F^2 \tau_e$$

$$D = v_F^2 \tau_e = \frac{v_F^2 \tau_{tr}}{2}$$

# Diagrammatic approach

- Diffuson spinorial structure

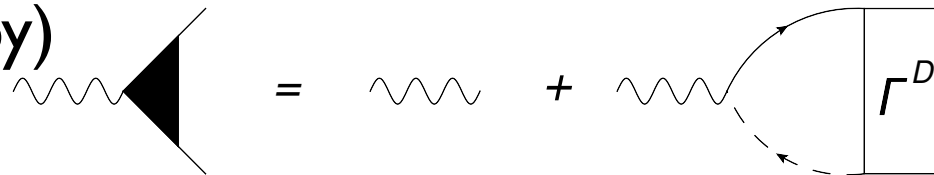
$$\Gamma^D(\vec{q}) = f_S(\vec{q}) |S\rangle\langle S| + f_1(\vec{q}) |T_1\rangle\langle T_1| \\ + f_2(\vec{q}) |T_2\rangle\langle T_2| + f_3(\vec{q}) |T_3\rangle\langle T_3|$$

- One single diffusive mode :

$$\Gamma^D(\vec{q}) = \gamma \frac{1}{Dq^2\tau_e} \frac{1}{4} [Id \otimes Id + \sigma^x \otimes \sigma^x - \sigma^y \otimes \sigma^y + \sigma^z \otimes \sigma^z]$$

- Current operator renormalization  
(scattering anisotropy)

$$J_\alpha = 2j_\alpha$$

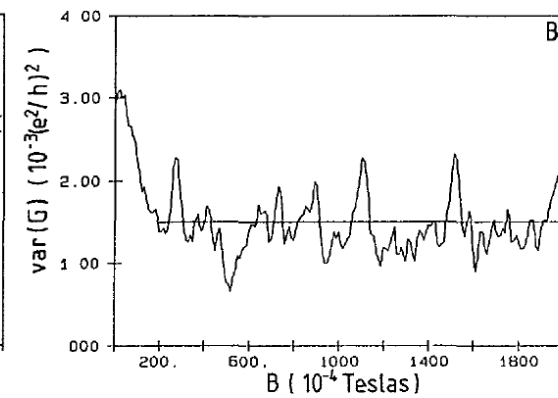
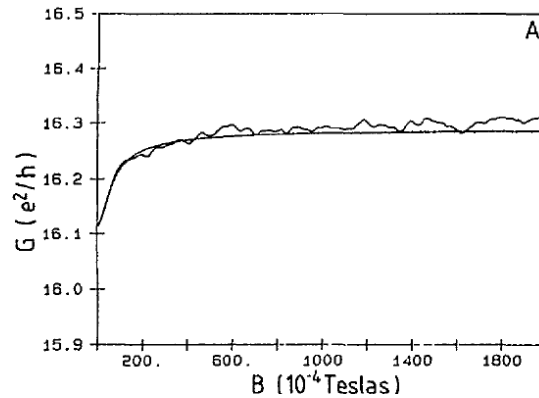
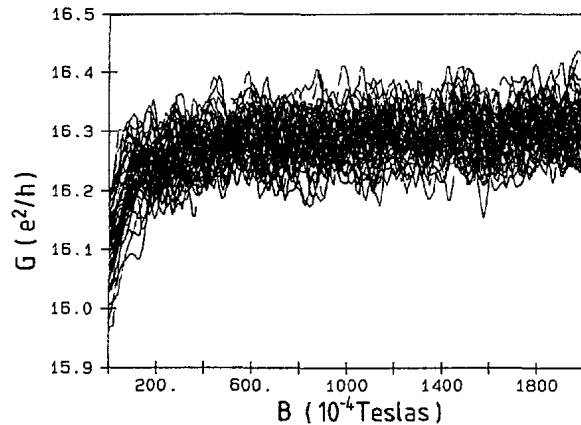


$$\sigma = \frac{e^2}{h} \rho(E) v_F^2 \tau_e \qquad D = v_F^2 \tau_e = \frac{v_F^2 \tau_{tr}}{2}$$

Doubling of transport time from anisotropy

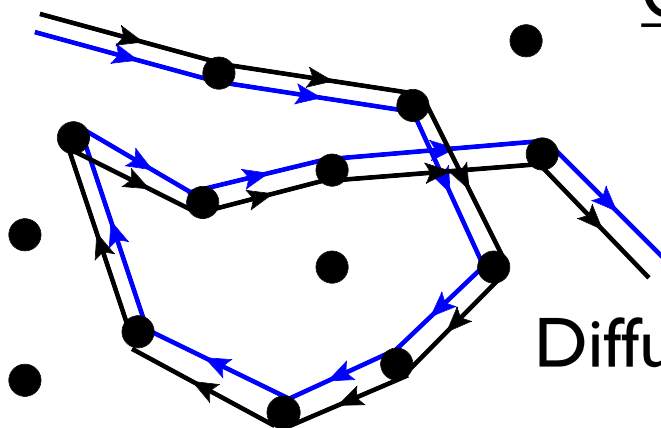
# Coherent transport

- Universal values : weak (anti)localization / UCF

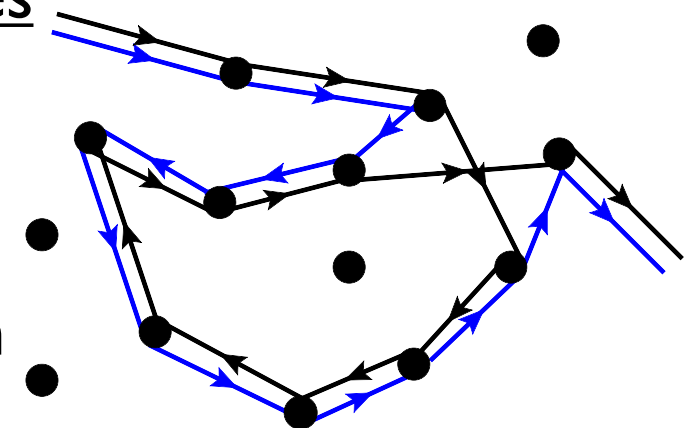


- Inelastic scattering : finite coherence time  $\tau_\varphi$   
Mesoscopic physics : low  $T$  ( $\tau_\varphi \nearrow$ ), small samples

## Quantum interferences



Diffuson



Cooperon

# Coherent transport : diagrammatics

- Interferences effects : 2 diffusive modes

$$\Gamma^D = \text{---} + \text{---} + \text{---} + \text{---} + \dots$$

$$\Gamma^C = \text{---} + \text{---} + \text{---} + \dots \quad \Gamma^C(\vec{Q}) = \gamma \frac{1}{DQ^2\tau_e} \frac{1}{4} [Id \otimes Id - \sigma^x \otimes \sigma^x - \sigma^y \otimes \sigma^y - \sigma^z \otimes \sigma^z]$$

- Weak anti-localization

$$H^C = \text{---} + \text{---} + \text{---} + \text{---}$$

$$\langle \delta\sigma \rangle = \frac{e^2}{\pi\hbar} \int_{\vec{Q}} \frac{1}{Q^2}$$

- Conductance fluctuations

$$\text{---} + \text{---} + \text{---} + \text{---}$$

$$\langle \delta\sigma^2 \rangle = 12 \left( \frac{e^2}{h} \right)^2 \frac{1}{V} \int_{\vec{q}} \frac{1}{q^4}$$

Same results as non-relativistic electrons with random spin-orbit coupling !

# Anderson problem

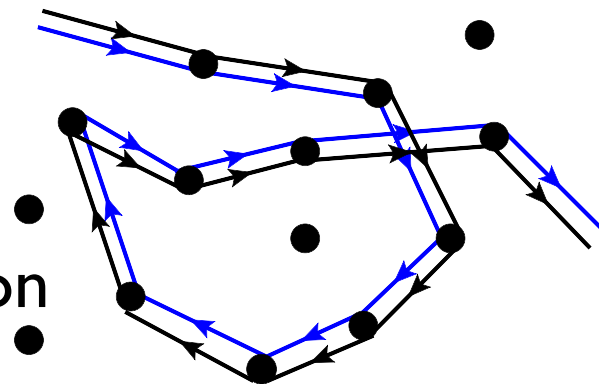
- Coherent metal + weak disorder : Anderson problem
  - Universality classes for transition (strong disorder) : universal metallic properties (weak disorder)
  - Time Reversal Symmetry ,  $\mathcal{H} = \hbar v_F \left( \vec{k} \times \vec{\sigma} \right) \cdot \hat{z} + V(\vec{r})$
  - $T^2 = -1$

Wigner - Dyson Classes	Symmetry			$d-1$			
	$T$	$P$	$C$	0	1	2	
A	0	0	0	0	$\mathbb{Z}$	0	Unitary
AI	1	0	0	0	0	0	Orthogonal
AII	-1	0	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	Symplectic

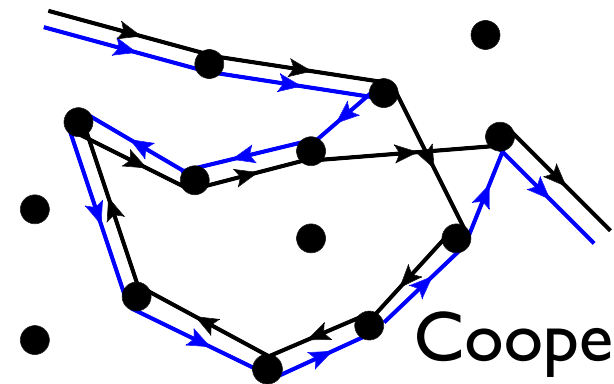
- Symplectic class/AII crossover to Unitary/A (mag. field)

2 / 1 diffusive modes

Diffuson



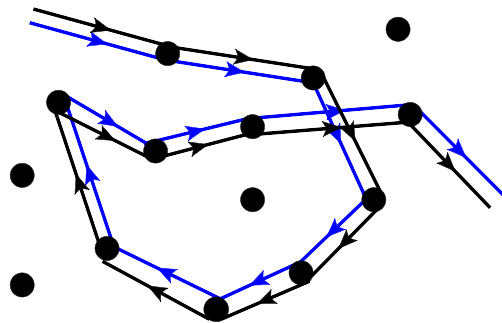
Cooperon



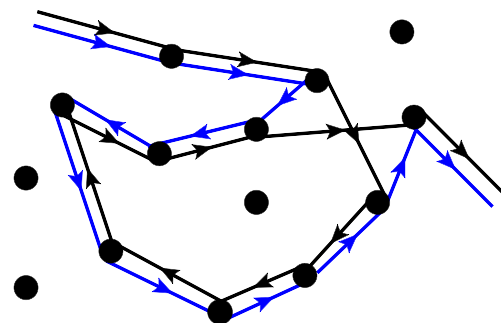
# Symplectic and unitary classes results

Symplectic class, TRS

- Diffusive modes

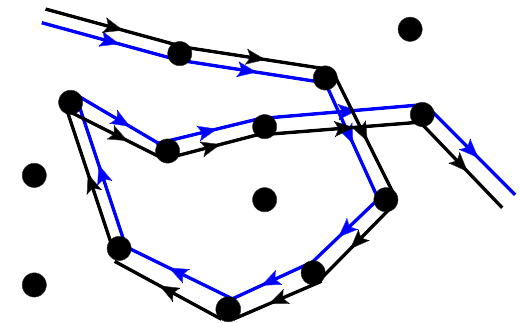


Diffuson



Cooperon

Unitary class



Diffuson

- Weak Anti Localization (WAL)

$$\langle \delta\sigma \rangle = \frac{e^2}{\pi\hbar} \int_{\vec{Q}} \frac{1}{Q^2}$$

$$\langle \delta\sigma \rangle = 0$$

- Conductance fluctuations

$$\langle \delta\sigma^2 \rangle = 12 \left( \frac{e^2}{h} \right)^2 \frac{1}{V} \int_{\vec{q}} \frac{1}{q^4}$$

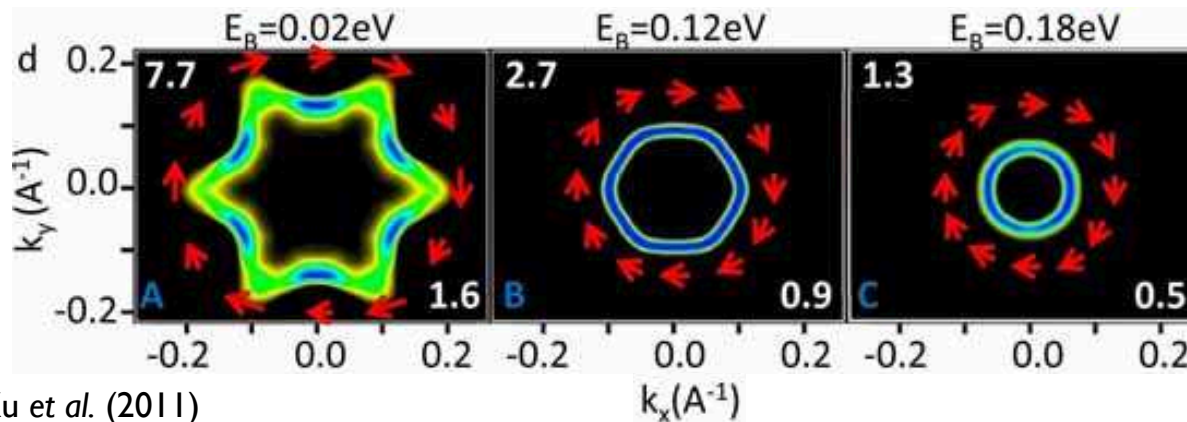
$$\langle \delta\sigma^2 \rangle = 6 \left( \frac{e^2}{h} \right)^2 \frac{1}{V} \int_{\vec{q}} \frac{1}{q^4}$$

Universal results : specificity of Dirac in the crossovers



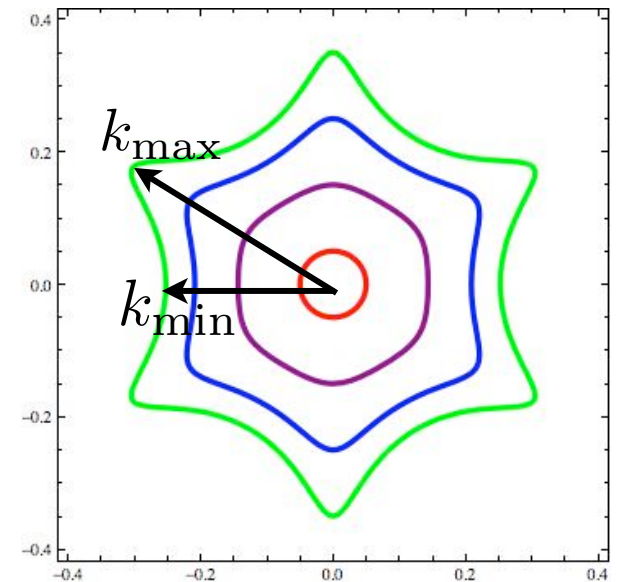
# Hexagonal warping

- Fermi surface deformation



S.Y. Xu et al. (2011)

Different energies Fermi surfaces



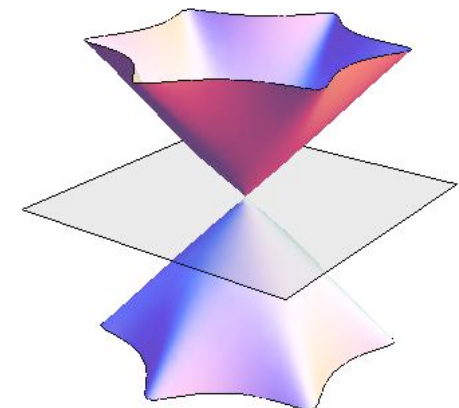
- Warping hamiltonian

$$\mathcal{H} = \hbar v_F (\vec{\sigma} \times \vec{k}) \cdot \hat{z} + \frac{\lambda}{2} (k_+^3 + k_-^3) \sigma^z$$

(L. Fu, 2009)

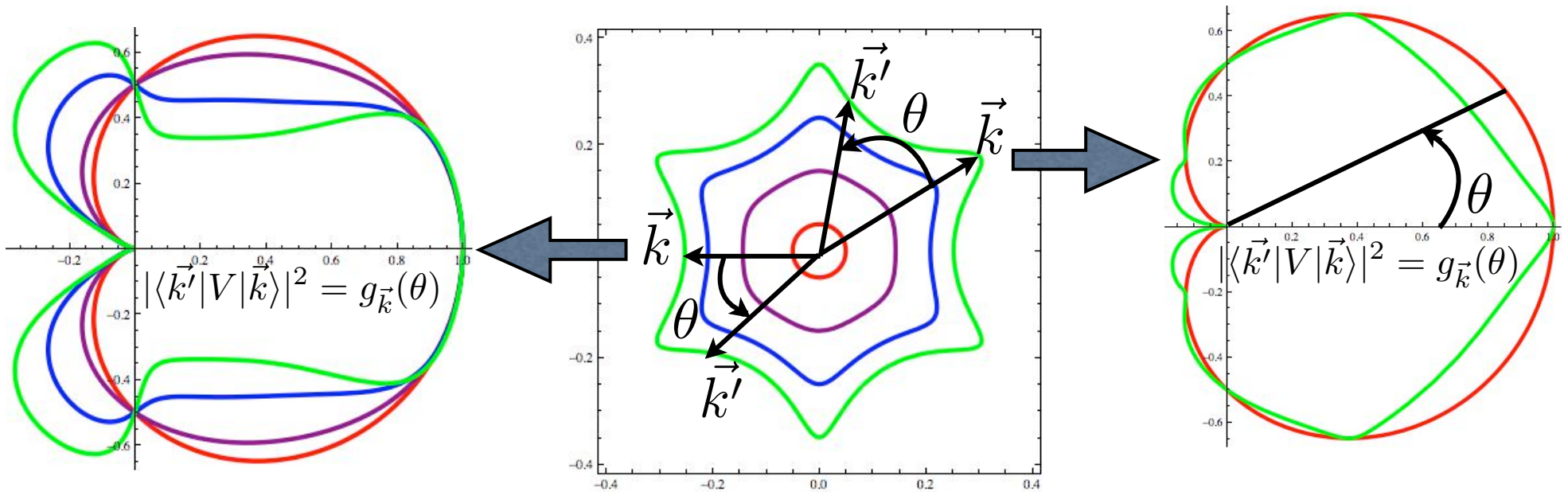
$$b = \frac{\lambda E_F^2}{2(\hbar v_F)^3} = \frac{w(w + w_{\max})^2}{2(w_{\max} - w)^3} ; \quad w = w_{\max} \frac{k_{\max} - k_{\min}}{k_{\max} + k_{\min}},$$

Experimentally :  $0 \leq b \lesssim 0.6$



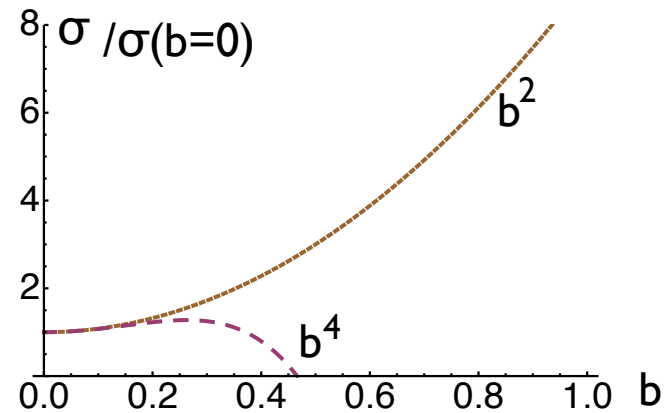
# Boltzmann approach

- Density of states :  $f(\vec{k})$
- Scattering probability :  $|\langle \vec{k}' | V | \vec{k} \rangle|^2 = g_{\vec{k}}(\theta)$  spinor overlap



## Perturbative result

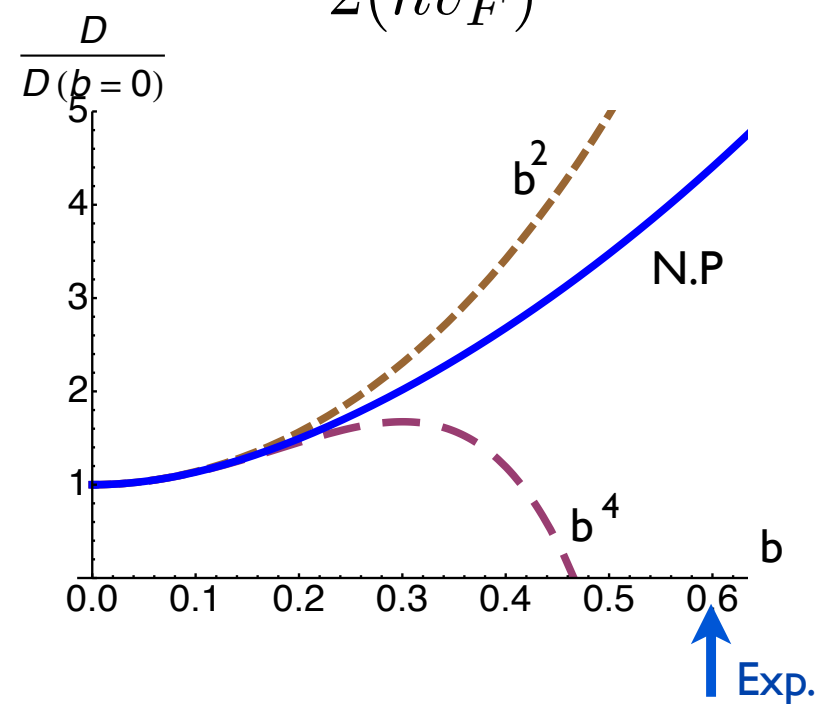
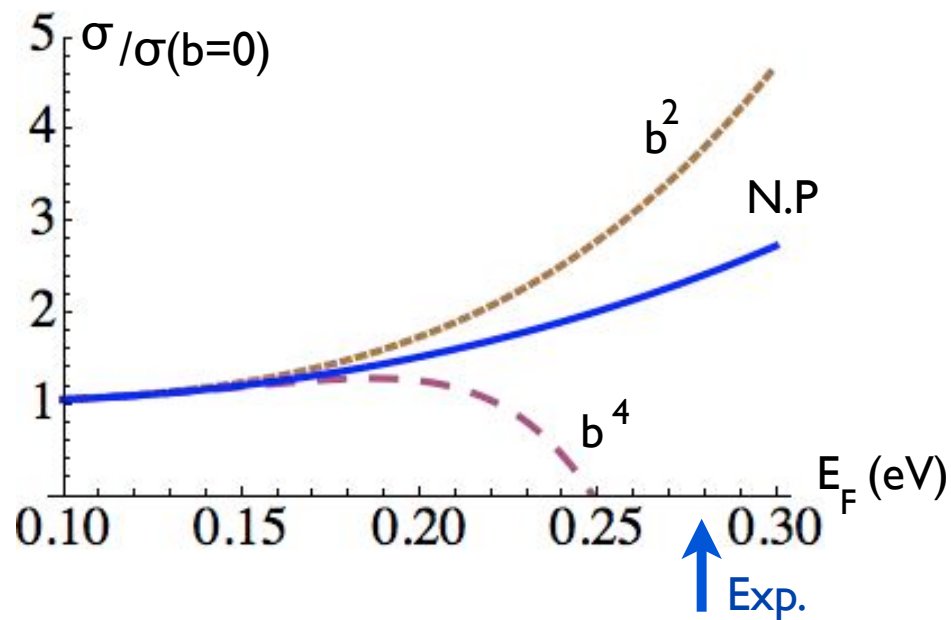
$$\sigma = \frac{e^2}{h} \frac{2\hbar^2 v_F^2}{\gamma} (1 + 8b^2 - 58b^4 + o(b^4))$$



# Diagrammatic approach

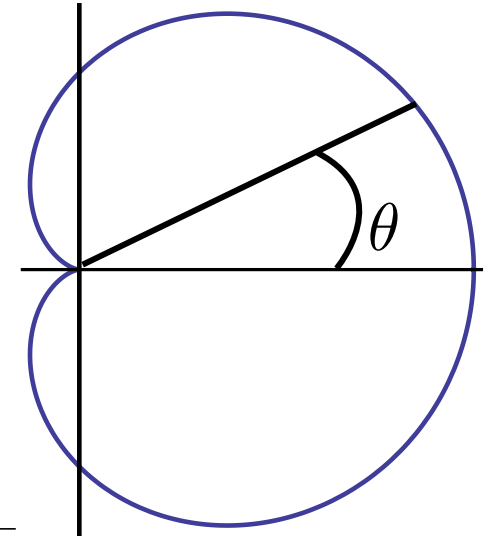
- Result non perturbative in warping term  $b$
- Correction to Dirac physics
- Possible to probe experimentally

$$b = \frac{\lambda E_F^2}{2(\hbar v_F)^3}$$

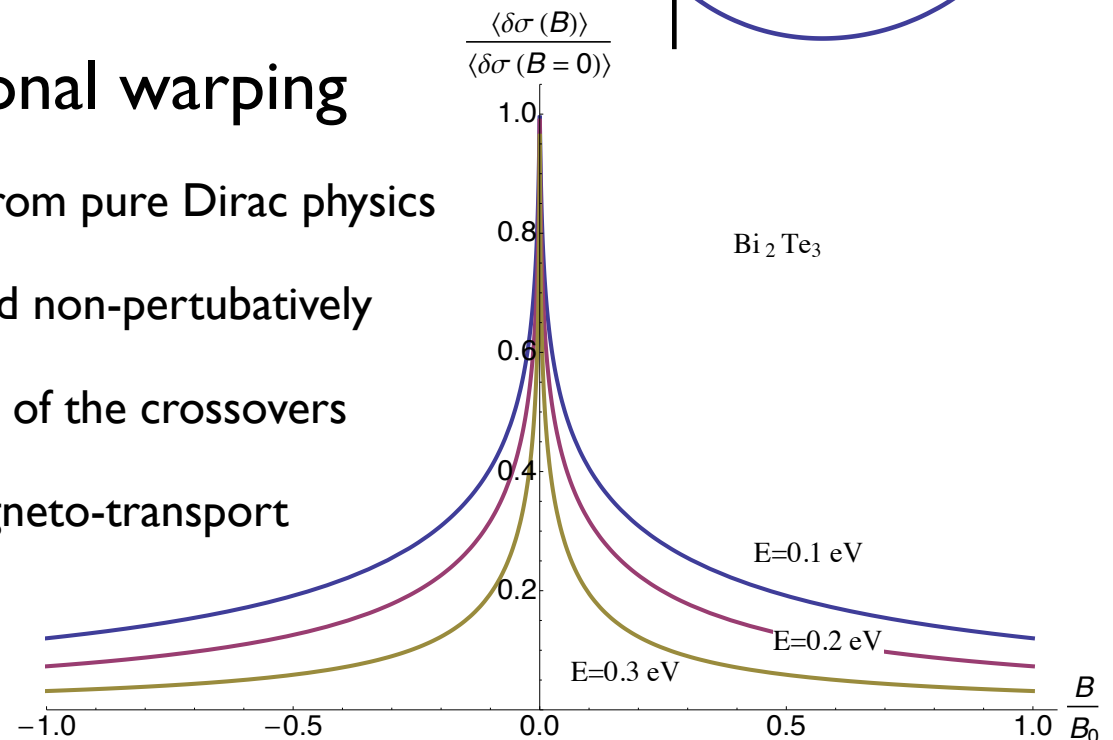


# Theory of diffusion of 3DTI surface states

- Dirac physics : anisotropy of the scattering
- Symplectic class, universal result (WAL correction)
- Specificity of the hexagonal warping



- Departure from pure Dirac physics
- To be treated non-pertubatively
- Dependance of the crossovers
- In-plane magneto-transport



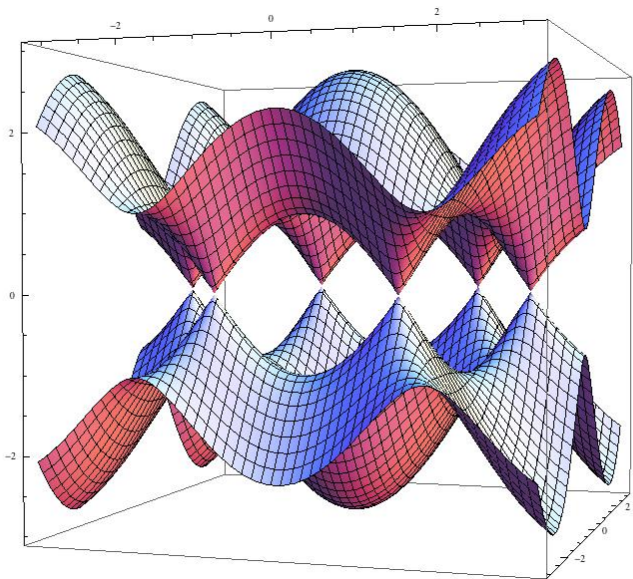
# Outline

- Diffusion, regime of weak disorder
- Diffusion of Dirac fermions
  - 3D Strong topological insulators
  - Graphene
- Diffusion of semi-Dirac excitations

# Dirac fermions system $\mathcal{H} = \hbar v_f (\vec{\sigma} \times \vec{k}) \cdot \hat{z}$

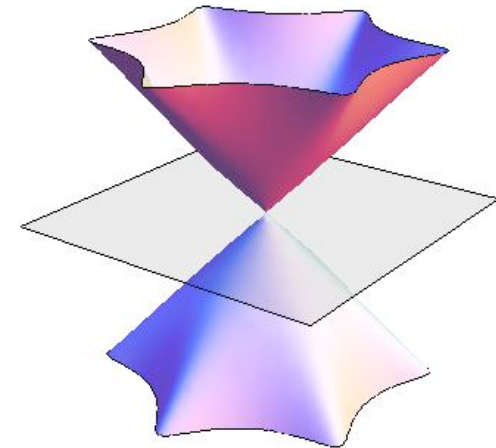
## Graphene

- $\sigma$  : sublattice
- 2 x 2 cones
- TRS : no constraint
- Trigonal warping at high energies



## STI surface state

- $\sigma$  : magnetic spin
- 1 cone (odd)
- TRS : constraint
- Hexagonal warping at high energies



# Weak localization in graphene

Valley degeneracy :  
possibility of intra- and inter- valley scattering

## Intravalley scattering only

- 2 independent Dirac cones
- Absence of backscattering
- Weak anti-localization

## With Intervalley scattering

- 2 disorder-coupled Dirac cones
- Possibility of backscattering
- Weak localization

Strong disorder limit :  
disorder always opens a gap (insulator) as opposed to  
3DSTI (always at least one

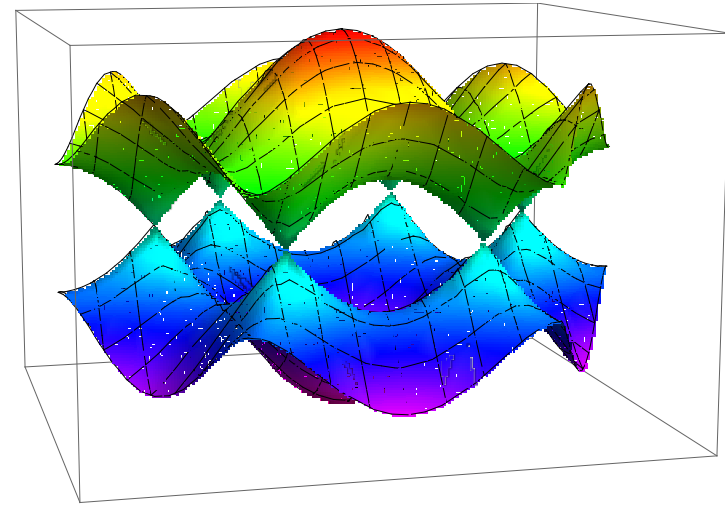
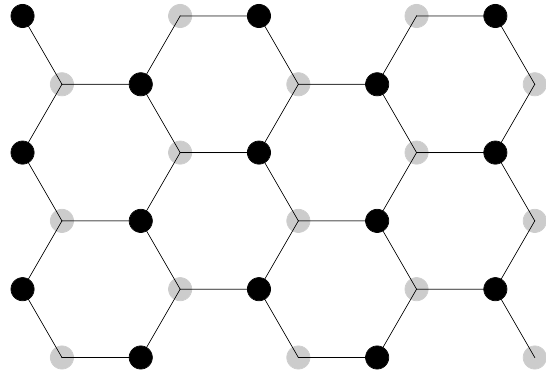
# Outline

- Diffusion, regime of weak disorder
- Diffusion of Dirac fermions
  - 3D Strong topological insulators
  - Graphene
- Diffusion of semi-Dirac excitations

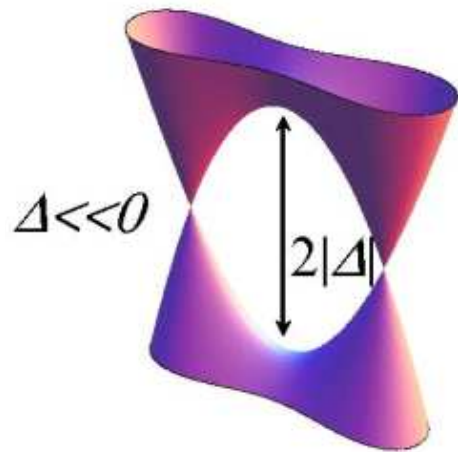


# Semi-Dirac excitations

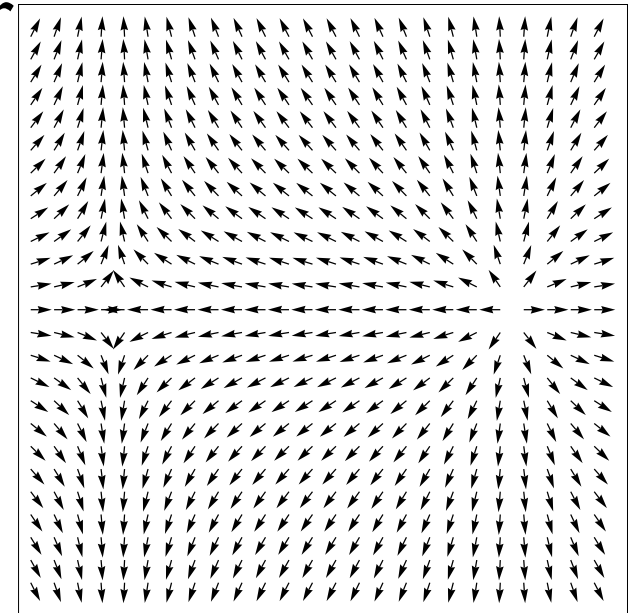
- Hexagonal lattice (graphene)



- 2 Dirac fermions, with topologic number

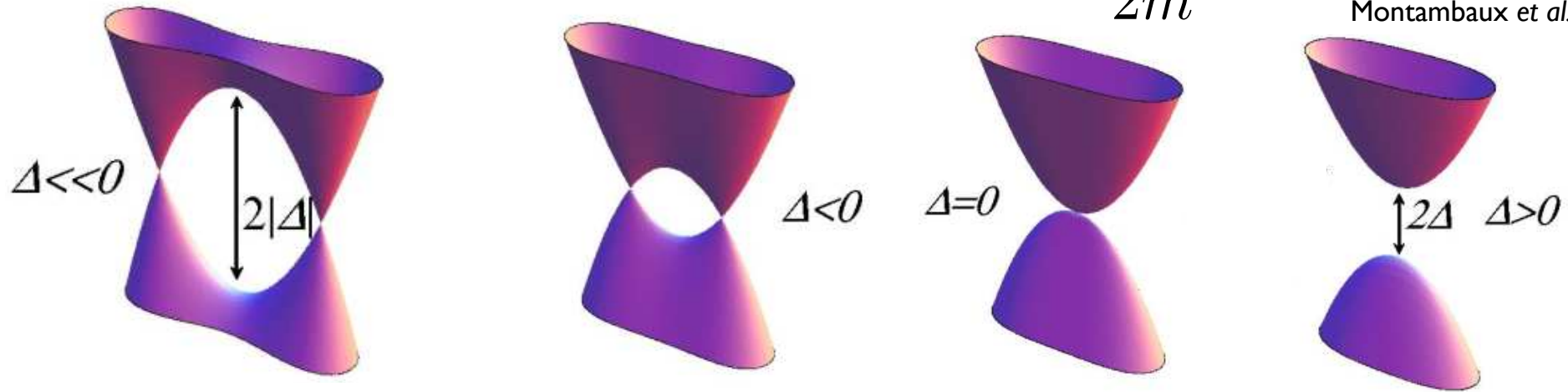


$$\mathcal{H} = \hbar v_F \vec{\sigma} \cdot \vec{q}$$

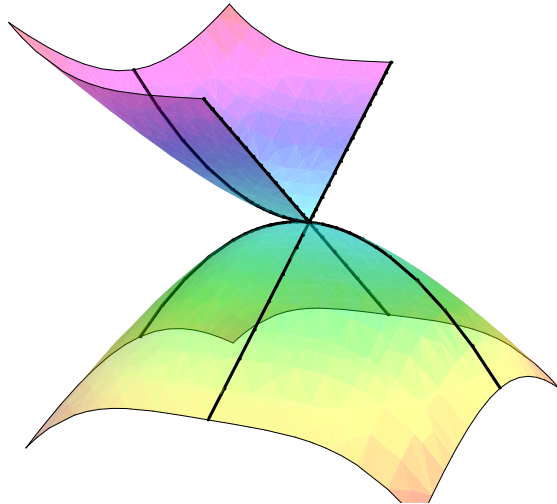


# Semi-Dirac excitations

- Merging of the Dirac cones  $\mathcal{H} = \left(\Delta + \frac{\hbar^2 q_x^2}{2m}\right)\sigma^x + \hbar v_F \sigma^y q^y$   
Montambaux et al., 2009



- $\Delta = 0$  : Semi-Dirac excitation,  $\mathcal{H} = \frac{\hbar^2 q_x^2}{2m}\sigma^x + \hbar v_F \sigma^y q^y$

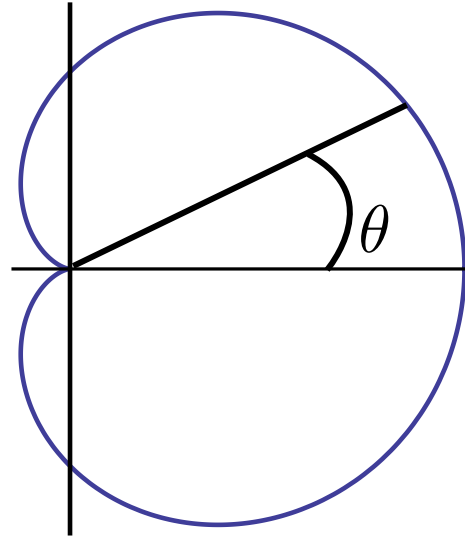


Also predicted in VO<sub>2</sub>/TiO<sub>2</sub> heterostructures

# Boltzmann equation

- Spinorial nature : Anisotropy of the scattering

Pure Dirac fermions



$$|\psi(\vec{k})\rangle = \begin{pmatrix} 1 \\ e^{i\theta_{\vec{k}}} \end{pmatrix}$$

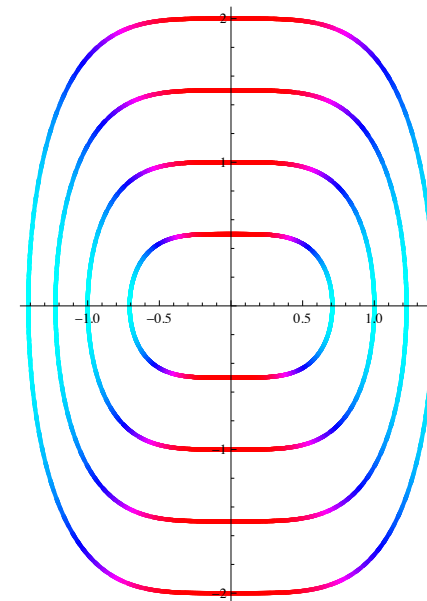
$$|\langle\psi(\vec{k})|\psi(\vec{k}')\rangle|^2 = \frac{1 + \cos\theta}{2}$$

- Anisotropy of the density of states

$$\mathcal{H} = \frac{\hbar^2 q_x^2}{2m} \sigma^x + \hbar v_F \sigma^y q^y$$

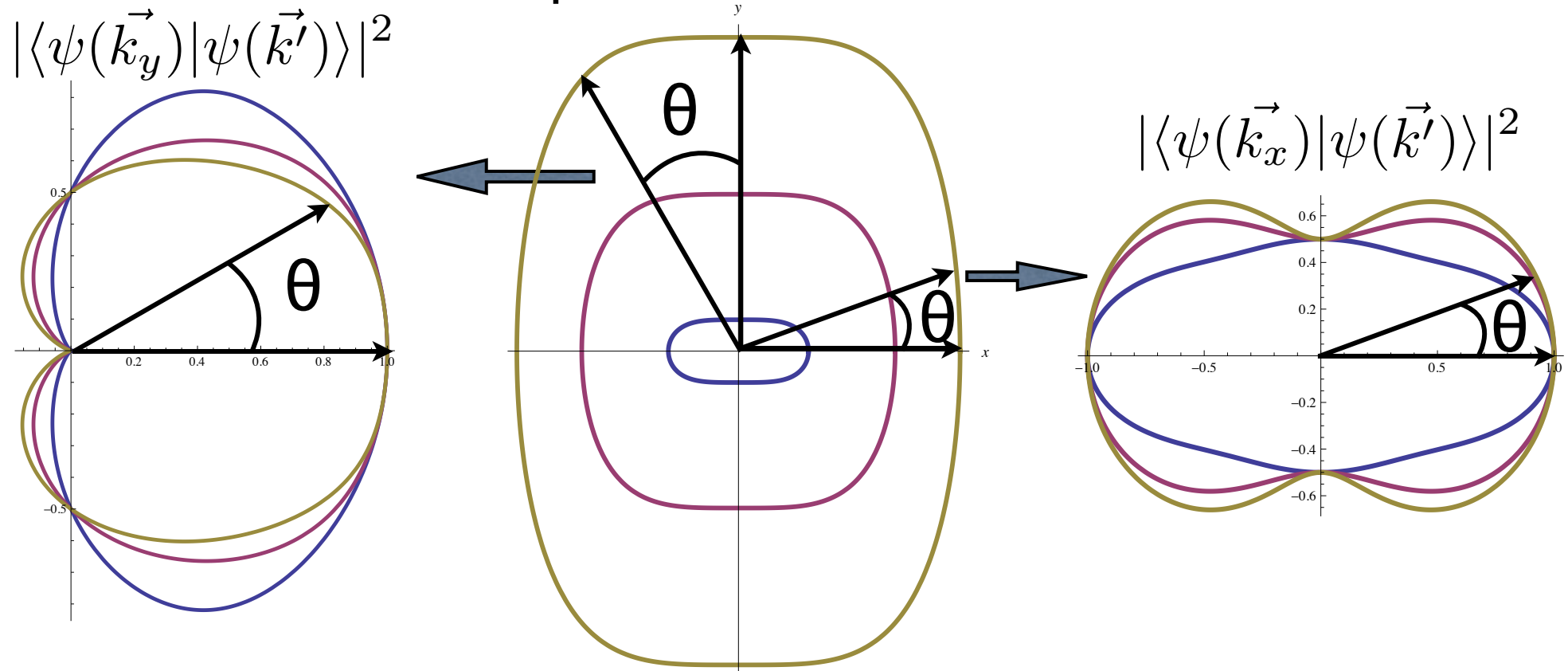
High density

Low density



# Boltzmann equation

- Stronger anisotropy of the scattering for semi-Dirac excitations compared to Dirac fermions



- Combination of these two anisotropies : Anisotropy of the diffusion  $D_x \neq D_y$

# Diagrammatics

- Direction dependant elastic mean free time

$$-\Im\Sigma = \frac{1}{\tau_e} Id + \frac{\cos\theta}{\tau_e^*} \sigma^x$$

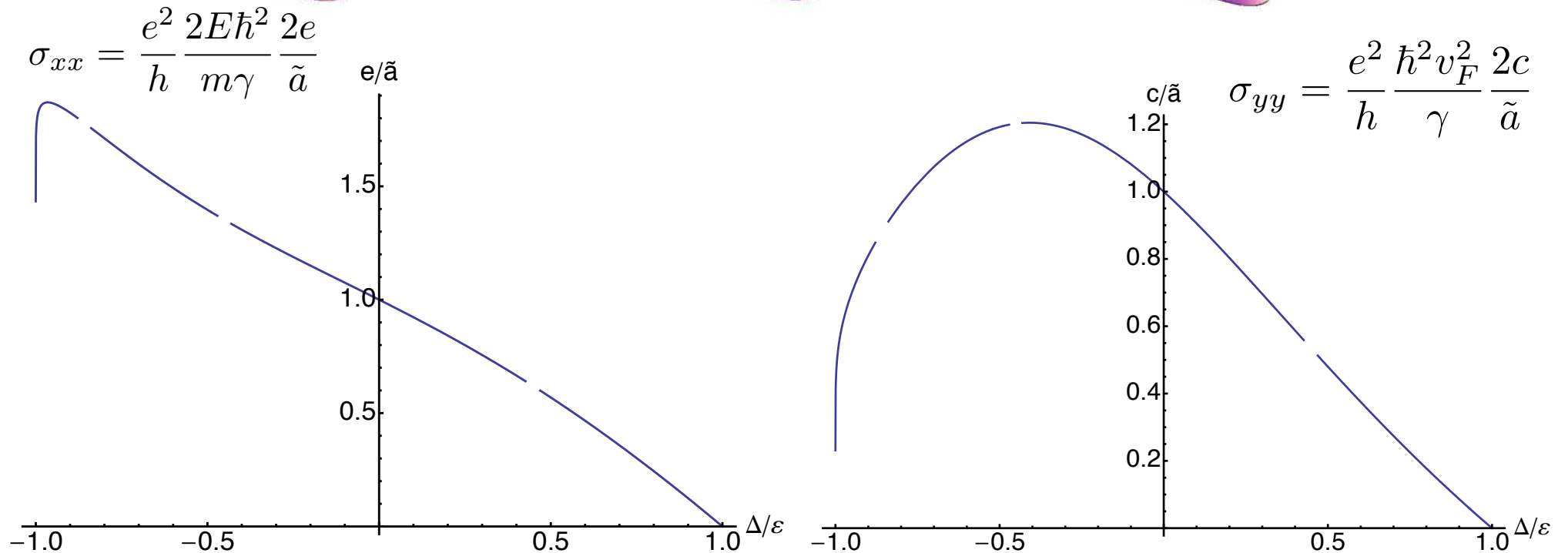
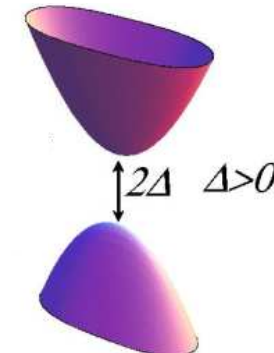
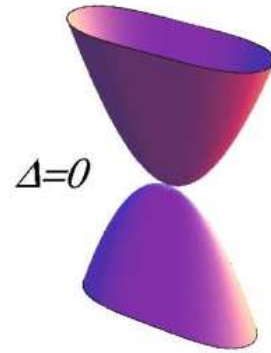
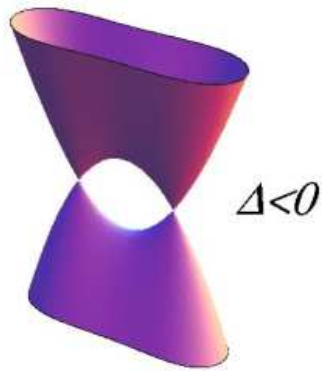
- 2 diffusive modes, 1 diffuson and 1 cooperon
- Drude conductivity tensor

$$\sigma_{xx} = \frac{e^2}{h} \frac{2E\hbar^2}{m\gamma} \frac{2e}{\tilde{a}} \quad \sigma_{yy} = \frac{e^2}{h} \frac{\hbar^2 v_F^2}{\gamma} \frac{2c}{\tilde{a}} \quad \sigma_{xy} = \sigma_{yx} = 0$$

- Weak anti-localization (Quantum interferences)

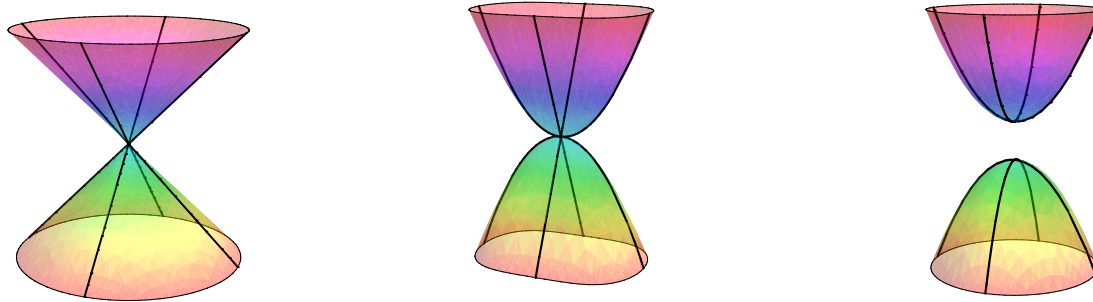
# Topological phase transition

- Dependence in  $\Delta$  of the conductances

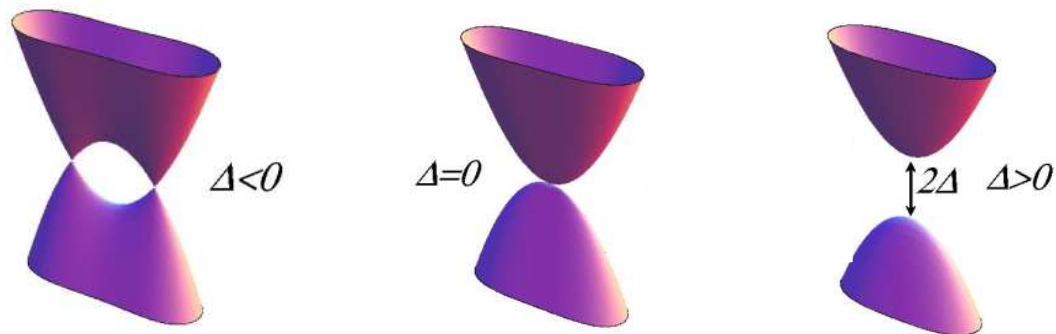


# Conclusion

- Significant difference with Dirac or non-relativistic excitations : anisotropic diffusion



- Study of the topological phase transition



- Weak antilocalization (symplectic class)
- Details soon on ArXiv